

## §5208. Asbestos, Appendix D - Medical Questionnaires - Mandatory

This mandatory appendix contains the medical questionnaires that must be administered to all employees who are exposed to asbestos above permissible exposure limit, and who will therefore be included in their employer's medical surveillance program. Part 1 of the appendix contains the Initial Medical Questionnaire, which must be obtained for all new hires who will be covered by the medical surveillance requirements. Part 2 includes the abbreviated Periodical Medical Questionnaire, which must be administered to all employees who are provided periodic medical examinations under the medical surveillance provisions of the standard.

### Part 1

#### INITIAL MEDICAL QUESTIONNAIRE:

1. NAME: \_\_\_\_\_
2. SOCIAL SECURITY NUMBER: \_\_\_\_\_
3. CLOCK NUMBER: \_\_\_\_\_
4. PRESENT OCCUPATION: \_\_\_\_\_
5. PLANT: \_\_\_\_\_
6. ADDRESS: \_\_\_\_\_
7. CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP CODE: \_\_\_\_\_
8. TELEPHONE NUMBER: (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ EXT. \_\_\_\_\_
9. INTERVIEWER: \_\_\_\_\_
10. DATE: \_\_\_\_ / \_\_\_\_ / \_\_\_\_
11. Date of birth: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ 12. Place of birth: \_\_\_\_\_  
Month Day Year
13. Sex: 1.  Male 2.  Female
14. What is your marital status? 1.  Single 2.  Married 3.  Widowed 4.  Separated/Divorced
15. Race: 1.  White 2.  Black 3.  Asian 4.  Hispanic 5.  Indian 6.  Other \_\_\_\_\_
16. What is the highest grade completed in school? \_\_\_\_ (For example 12 years is completion of high school)

#### 17. OCCUPATIONAL HISTORY

- A. Have you ever worked full time (30 hours per week or more) for 6 months or more?: 1.  Yes 2.  No IF YES TO 17A:  
B. Have you ever worked for a year or more in any dusty job? 1.  Yes 2.  No 3.  Does Not Apply  
Specify job/industry: \_\_\_\_\_ Total Years Worked: \_\_\_\_\_  
Was dust exposure: 1.  Mild 2.  Moderate 3.  Severe
- C. Have you ever been exposed to gas or chemical fumes in your work? 1.  Yes 2.  No  
Specify job/industry: \_\_\_\_\_ Total Years Worked: \_\_\_\_\_  
Was exposure: 1.  Mild 2.  Moderate 3.  Severe
- D. What has been your usual occupation or job - the one you have worked at the longest?
  1. Job occupation: \_\_\_\_\_
  2. Number of years employed in this occupation: \_\_\_\_
  3. Position/job title: \_\_\_\_\_
  4. Business, field, or industry: \_\_\_\_\_

(Record on lines the years in which you have worked in any of these industries, e.g. 1960-1969)

Have you ever worked:

- |                                     |                                 |                                |               |
|-------------------------------------|---------------------------------|--------------------------------|---------------|
| E. In a mine?                       | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | _____ - _____ |
| F. In a quarry?                     | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | _____ - _____ |
| G. In a foundry?                    | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | _____ - _____ |
| H. In a pottery?                    | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | _____ - _____ |
| I. In a cotton, flax, or hemp mill? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | _____ - _____ |
| J. With asbestos?                   | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | _____ - _____ |

#### 18. PAST MEDICAL HISTORY

- A. Do you consider yourself to be in good health? 1.  Yes 2.  No If "No", state reason: \_\_\_\_\_
- B. Have you any defect of vision? 1.  Yes 2.  No If "Yes", state nature of defect: \_\_\_\_\_
- C. Have you any hearing defect? 1.  Yes 2.  No If "Yes", state nature of defect: \_\_\_\_\_
- D. Are you suffering from or have you ever suffered from:
  - a. Epilepsy (or fits, seizures, convulsions)? 1.  Yes 2.  No
  - b. Rheumatic fever? 1.  Yes 2.  No
  - c. Kidney disease? 1.  Yes 2.  No
  - d. Bladder disease? 1.  Yes 2.  No
  - e. Diabetes? 1.  Yes 2.  No
  - f. Jaundice? 1.  Yes 2.  No

#### 19. CHEST COLDS AND CHEST ILLNESSES:

- 19A. If you get a cold, does it "usually" go to your chest? (Usually means more than 1/2 the time): 1.  Yes 2.  No 3.  Don't get colds
- 20A. During the past 3 years, have you had any chest illnesses that have kept you off work, indoors at home, or in bed? 1.  Yes 2.  No IF YES TO 20A:
  - B. Did you produce phlegm with any of these chest illnesses? 1.  Yes 2.  No 3.  Does Not Apply
  - C. In the last 3 years, how many such illnesses with (increased) phlegm did you have which lasted a week or more? \_\_\_\_\_, Number of illnesses  No such illnesses
21. Did you have any lung trouble before the age of 16? 1.  Yes 2.  No

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**Part 1 (Continued)**

22. Have you ever had any of the following?

- |                                       |                                 |                                |  |
|---------------------------------------|---------------------------------|--------------------------------|--|
| 1A. Attacks of bronchitis?            | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 1A:                              |
| B. Was it confirmed by a doctor?      | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| C. At what age was your first attack? | _____ Age In Years              |                                | <input type="checkbox"/> Does Not Apply    |
- |   |                                 |                                |  |
|---|---------------------------------|--------------------------------|--|
| 2A. Pneumonia (include bronchopneumonia)? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 2A:                              |
| B. Was it confirmed by a doctor?          | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| C. At what age did you first have it?     | _____ Age In Years              |                                | <input type="checkbox"/> Does Not Apply    |
- |                                  |                                 |                                |  |
|----------------------------------|---------------------------------|--------------------------------|--|
| 3A. Hay Fever?                   | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 3A:                              |
| B. Was it confirmed by a doctor? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| C. At what age did it start?     | _____ Age In Years              |                                | <input type="checkbox"/> Does Not Apply    |

- |   |                                 |                                |  |
|---|---------------------------------|--------------------------------|--|
| 23 A. Have you ever had chronic bronchitis? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 23A:                             |
| B. Do you still have it?                    | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| C. Was it confirmed by a doctor?            | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| D. At what age did it start?                | _____ Age In Years              |                                | <input type="checkbox"/> Does Not Apply    |

- |                                    |                                 |                                |  |
|------------------------------------|---------------------------------|--------------------------------|--|
| 24 A. Have you ever had emphysema? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 24A:                             |
| B. Do you still have it?           | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| C. Was it confirmed by a doctor?   | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| D. At what age did it start?       | _____ Age In Years              |                                | <input type="checkbox"/> Does Not Apply    |

- |   |                                 |                                |  |
|---|---------------------------------|--------------------------------|--|
| 25 A. Have you ever had asthma?                       | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 25A:                             |
| B. Do you still have it?                              | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| C. Was it confirmed by a doctor?                      | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| D. At what age did it start?                          | _____ Age In Years              |                                | <input type="checkbox"/> Does Not Apply    |
| E. If you no longer have it, at what age did it stop? | _____ Age Stopped               |                                | <input type="checkbox"/> Does Not Apply    |

- |                             |                                 |                                |                               |
|-----------------------------|---------------------------------|--------------------------------|-------------------------------|
| 26. Have you ever had:      |                                 |                                |                               |
| A. Any other chest illness? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | If yes, please specify: _____ |
| B. Any chest operations?    | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | If yes, please specify: _____ |
| C. Any chest injuries?      | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | If yes, please specify: _____ |

- |  |                                 |                                |  |
|--|---------------------------------|--------------------------------|--|
| 27 A. Has a doctor told you that you had heart trouble?                | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 27A:                             |
| B. Have you ever had treatment for heart trouble in the past 10 years? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |

- |   |                                 |                                |  |
|---|---------------------------------|--------------------------------|--|
| 28 A. Has a doctor told you that you had high blood pressure?                               | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | IF YES TO 28A:                             |
| B. Have you ever had treatment for high blood pressure (hypertension) in the past 10 years? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |

29. When did you last have your chest X-rayed? Year \_\_\_\_\_

30. Where did you last have your chest X-rayed (if known)? \_\_\_\_\_  
 What was the outcome? \_\_\_\_\_

**FAMILY HISTORY**

31. Were either of your natural parents ever told by a doctor that they had a chronic lung condition such as:

- |                               | FATHER                              |                                |  | MOTHER                              |                                |  |
|-------------------------------|-------------------------------------|--------------------------------|--|-------------------------------------|--------------------------------|--|
| A. Chronic Bronchitis?        | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know |
| B. Emphysema?                 | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know |
| C. Asthma?                    | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know |
| D. Lung cancer?               | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know |
| E. Other chest conditions?    | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know |
| F. Is parent currently alive? | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know | 1. <input type="checkbox"/> Yes     | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Don't Know |
| G. Please Specify             | _____ Age if Living                 |                                |  | _____ Age if Living                 |                                |  |
|                               | _____ Age at Death                  |                                |  | _____ Age at Death                  |                                |  |
|                               | <input type="checkbox"/> Don't Know |                                |  | <input type="checkbox"/> Don't Know |                                |  |

H. Please specify cause of death \_\_\_\_\_

**COUGH**

- 32A. Do you usually have a cough? (Count a cough with first smoke or on first going out of doors. Exclude clearing of throat.) (If No, skip to question 32C.) 1.  Yes 2.  No
- |   |                                 |                                |
|---|---------------------------------|--------------------------------|
| B. Do you usually cough as much as 4 to 6 times a day 4 or more days out of the week? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No |
| C. Do you usually cough at all on getting up or first thing in the morning?           | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No |
| D. Do you usually cough at all during the rest of the day or at night?                | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No |
- IF YES TO ANY OF ABOVE (32A, B, C, OR D), ANSWER THE FOLLOWING. IF NO TO ALL, CHECK "DOES NOT APPLY" AND SKIP TO PART 2.

- |  |                                 |                                |  |
|--|---------------------------------|--------------------------------|--|
| E. Do you usually cough like this on most days for 3 consecutive months or more during the year? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| F. For how many years have you had the cough?  | _____ No. of Years              |                                | <input type="checkbox"/> Does Not Apply    |

- 33A. Do you usually bring up phlegm from your chest? (Count phlegm with the first smoke or on first going out of doors. Exclude phlegm from the nose. Count swallowed phlegm.) If no, skip to 33C. 1.  Yes 2.  No
- |  |                                 |                                |
|--|---------------------------------|--------------------------------|
| B. Do you usually bring up phlegm like this as much as twice a day 4 or more days out of the week? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No |
| C. Do you usually bring up phlegm at all on getting up or first thing in the morning?              | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No |
| D. Do you usually bring up phlegm at all during the rest of the day or at night?                   | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No |
- IF YES TO ANY OF THE ABOVE (33A, B, C, OR D), ANSWER THE FOLLOWING. IF NO TO ALL, CHECK "DOES NOT APPLY" AND SKIP TO 34A.
- |  |                                 |                                |  |
|--|---------------------------------|--------------------------------|--|
| E. Do you bring up phlegm like this on most days for 3 consecutive months or more during the year? | 1. <input type="checkbox"/> Yes | 2. <input type="checkbox"/> No | 3. <input type="checkbox"/> Does Not Apply |
| F. For how many years have you had trouble with phlegm?  | _____ No. of Years              |                                | <input type="checkbox"/> Does Not Apply    |

**§5208. Asbestos, Appendix D - Medical Questionnaires - Mandatory (Continued)**

**Part 1 (Continued)**

**EPISODES OF COUGH AND PHLEGM**

34A. Have you had periods or episodes of (increased\*) cough and phlegm lasting for 3 weeks or more each year?

\*(For persons who usually have cough and/or phlegm)

1.  Yes 2.  No

IF YES TO 34A:

B. For how long have you had at least 1 such episode per year?

\_\_\_\_\_ No. of Years

Does Not Apply

**WHEEZING**

35A. Does your chest ever sound wheezy or whistling:

1. When you have a cold?

1.  Yes 2.  No

2. Occasionally apart from colds?

1.  Yes 2.  No

3. Most days or nights?

1.  Yes 2.  No

IF YES TO 1, 2, or 3 in 35A:

B. For how many years has this been present?

\_\_\_\_\_ No. of Years

Does Not Apply

36A. Have you ever had an attack of wheezing that has made you feel short of breath?

1.  Yes 2.  No

B. How old were you when you had your first such attack?

\_\_\_\_\_ Age in Years

Does Not Apply

C. Have you had 2 or more such episodes?

1.  Yes 2.  No

3.  Does Not Apply

D. Have you ever required medicine or treatment for the(se) attack(s)

1.  Yes 2.  No

3.  Does Not Apply

**BREATHLESSNESS**

37. If disabled from walking by any condition other than heart or lung disease, please describe and proceed to question 39A.

Nature of condition(s): \_\_\_\_\_

38A. Are you troubled by shortness of breath when hurrying on the level or walking up a slight hill?

1.  Yes 2.  No

IF YES TO 38A:

B. Do you have to walk slower than people of your age on the level because of breathlessness?

1.  Yes 2.  No

3.  Does Not Apply

C. Do you ever have to stop for breath when walking at your own pace on the level?

1.  Yes 2.  No

3.  Does Not Apply

D. Do you ever have to stop for breath after walking about 100 yards (or after a few minutes) on the level?

1.  Yes 2.  No

3.  Does Not Apply

E. Are you too breathless to leave the house or breathless on dressing or climbing one flight of stairs?

1.  Yes 2.  No

3.  Does Not Apply

**TOBACCO SMOKING**

39A. Have you ever smoked cigarettes?

(No means less than 20 packs of cigarettes or 12 oz. of tobacco in a lifetime or less than 1 cigarette a day for 1 year.)

1.  Yes 2.  No

IF YES TO 39A:

B. Do you now smoke cigarettes (as of one month ago)

1.  Yes 2.  No

3.  Does Not Apply

C. How old were you when you first started regular cigarette smoking?

\_\_\_\_\_ Age in Years

Does Not Apply

D. If you have stopped smoking cigarettes completely, how old were you when you stopped?

\_\_\_\_\_ Age Stopped

Still Smoking Cigarettes

Does Not Apply

E. How many cigarettes do you smoke per day now?

\_\_\_\_\_ Cigarettes Per Day

Does Not Apply

F. On the average of the entire time you smoked, how many cigarettes did you smoke per day?

\_\_\_\_\_ Cigarettes Per Day

Does Not Apply

G. Do or did you inhale the cigarette smoke?

1.  Does Not Apply

2.  Not At All

3.  Slightly

4.  Moderately

5.  Deeply

40A. Have you ever smoked a pipe regularly? (Yes means more than 12 oz. of tobacco in a lifetime.)

1.  Yes 2.  No

IF YES TO 40A:

**FOR PERSONS WHO HAVE EVER SMOKED A PIPE**

B. 1. How old were you when you started to smoke a pipe regularly? \_\_\_\_\_ Age in Years

2. If you have stopped smoking a pipe completely, how old were you when you stopped?

\_\_\_\_\_ Age Stopped

Still Smoking Pipe

Does Not Apply

C. On the average over the entire time you smoked a pipe, how much pipe tobacco did you smoke per week?

\_\_\_\_\_ Oz. Per Week (a standard pouch of tobacco contains 1 1/2 oz.)

Does Not Apply

D. How much pipe tobacco are you smoking now?

\_\_\_\_\_ Oz. Per Week

Not Currently Smoking A Pipe

E. Do you or did you inhale the pipe smoke?

1.  Never Smoked

2.  Not At All

3.  Slightly

4.  Moderately

5.  Deeply

41A. Have you ever smoked cigars regularly? (Yes means more than 1 cigar a week for a year)

1.  Yes 2.  No

IF YES TO 41A:

**FOR PERSONS WHO HAVE EVER SMOKED CIGARS**

B. 1. How old were you when you started smoking cigars regularly? \_\_\_\_\_ Age in Years

2. If you have stopped smoking cigars completely, how old were you when you stopped?

\_\_\_\_\_ Age Stopped

Still Smoking Cigars

Does Not Apply

C. On the average over the entire time you smoked cigars, how many cigars did you smoke per week?

\_\_\_\_\_ Cigars Per Week

Does Not Apply

D. How many cigars are you smoking per week now?

\_\_\_\_\_ Cigars Per Week

Not Currently Smoking Cigars

E. Do or did you inhale the cigar smoke?

1.  Never Smoked

2.  Not At All

3.  Slightly

4.  Moderately

5.  Deeply

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Signature \_\_\_\_\_